

Degrees, Dimensions, and Crispness

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March 15, 2019

Outline

- The upper naturals.
- The algebra of polynomials, three ways.
- Crisp things have natural number degree / dimension.

The Logic of Space

Space-y-ness of your domains of discourse



Constructiveness of the (native) logic about things in those domains

Logical Connectivity

Definition

A proposition $U : A \rightarrow \mathbf{Prop}$ is **logically connected** if for all $P : A \rightarrow \mathbf{Prop}$, if $\forall a. Ua \rightarrow Pa \vee \neg Pa$, then either $\forall a. Ua \rightarrow Pa$ or $\forall a. Ua \rightarrow \neg Pa$.

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Lemma

If $U : A \rightarrow \mathbf{Prop}$ is logically connected and $f : A \rightarrow B$, then its image $\text{im}(U) :\equiv \lambda b. \exists a. f(a) = b \wedge Ua : B \rightarrow \mathbf{Prop}$ is logically connected.

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Lemma

If A has decidable equality (either $a = b$ or $a \neq b$), then a logically connected $U : A \rightarrow \mathbf{Prop}$ has at most one element.

Degree of a Polynomial

- Suppose R is a ring. Naively, taking the degree of a polynomial should give a map

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- Then $\text{deg}(rx) : \mathbb{N}$, so that

$$\lambda r. \text{deg}(rx) : R \rightarrow \mathbb{N}.$$

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But R is connected and \mathbb{N} has decidable equality, so this map must be constant (by the lemma).

- Of course, $\text{deg}(x) = 1$ and $\text{deg}(0) = 0$, so this proves $1 = 0$, which is an issue.

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Proposition

The law of excluded middle (LEM) is equivalent to the well-ordering principle (WOP) for \mathbb{N} .

Proof.

That the classical naturals satisfy WOP is routine. Let's show that the well-ordering of \mathbb{N} implies LEM.

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Given a proposition $P : \mathbf{Prop}$, define $\bar{P} : \mathbb{N} \rightarrow \mathbf{Prop}$ by $\bar{P}(n) := P \vee 1 \leq n$ and note that $\bar{P}(0) = P$.

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Given a proposition $P : \mathbf{Prop}$, define $\bar{P} : \mathbb{N} \rightarrow \mathbf{Prop}$ by $\bar{P}(n) := P \vee 1 \leq n$ and note that $\bar{P}(0) = P$. The least number satisfying \bar{P} is 0 or not depending on whether P or $\neg P$; since equality of naturals is decidable, either P or $\neg P$. □

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In other words,

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The **upper naturals** \mathbb{N}^\uparrow are the type of upward closed propositions on the naturals. (As a **Prop**-category, this is $(\mathbf{Prop}^{\mathbb{N}})^{\text{op}}$)

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Nn holds if n is an upper bound of N .

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- For $N, M : \mathbb{N}^\uparrow$, say $N \leq M$ when every upper bound of M is an upper bound of N .

Naturals and Upper Naturals

Definition

The **upper naturals** \mathbb{N}^\uparrow are the type of upward closed propositions on the naturals.

Every natural $n : \mathbb{N}$ gives an upper natural $n^\uparrow : \mathbb{N}^\uparrow$ by the Yoneda embedding:

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We can take the minimum upper natural satisfying a proposition:

$$\text{min} : (\mathbb{N} \rightarrow \mathbf{Prop}) \rightarrow \mathbb{N}^\uparrow$$

by

$$(\text{min } P)n :\equiv \exists m \leq n. Pm$$

Upper Arithmetic

Definition

$$\begin{aligned} \min : (\mathbb{N} \rightarrow \mathbf{Prop}) &\rightarrow \mathbb{N}^\uparrow \\ P &\mapsto \lambda n. \exists m \leq n. Pm \end{aligned}$$

Lemma

For $P : \mathbb{N} \rightarrow \mathbf{Prop}$, $\min P = n^\uparrow$ if and only if n is the least number satisfying P .

We can define the arithmetic operations for upper naturals by Day convolution: (with $N, M : \mathbb{N}^\uparrow$)

- $(N + M)n \equiv \exists a, b : \mathbb{N}. Na \wedge Mb \wedge (a + b \leq n).$

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- $(N \cdot M)n \equiv \exists a, b : \mathbb{N}. Na \wedge Mb \wedge (ab \leq n)$.
- And one can prove the expected identities by the usual Day convolution arguments.

Upper Naturals in Models

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- (Hartshorne (1977) Example III.12.7.2) If Y is a Noetherian scheme and \mathcal{F} a coherent sheaf of modules on Y , then

$$y \mapsto \dim_{k(y)}(\mathcal{F}_y \otimes k(y))$$

is an upper-semicontinuous function $Y \rightarrow \mathbb{N}$, and therefore a global section of $\mathbb{N}^\uparrow \in \mathbf{Sh}(Y)$.

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- For more on the upper naturals in a localic setting, see Section II.5 of Blechschmidt (2017). (There they are called *generalized naturals*)

Cardinality

As an example of what we can define with upper naturals that we couldn't with naturals, consider:

Definition

Define the (finite) **cardinality** of a type as

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Proposition

We have the expected equations:

- $\mathbf{Card}(X + Y) = \mathbf{Card}(X) + \mathbf{Card}(Y)$.
- $\mathbf{Card}(X \times Y) = \mathbf{Card}(X) \cdot \mathbf{Card}(Y)$.
- $\mathbf{Card}(X +_U Y) = \mathbf{Card}(X) + \mathbf{Card}(Y) - \mathbf{Card}(U)$.*

Polynomials, Three Ways

To define the degree of a polynomial, we need to define the algebra of polynomials. In the following, let R be a ring.

Definition

For a type I , the **free R -algebra on I** , $R[x_i \mid i : I]$ is the higher inductive type generated by

- $x : I \rightarrow R[x_i \mid i : I]$
- $\text{struct} : R\text{-algebra structure on } R[x_i \mid i : I]$

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Proposition

Let A be an R -algebra and I a type. Then evaluating at $x : I \rightarrow R[x_i \mid i : I]$ gives an equivalence

$$(I \rightarrow A) \simeq \mathbf{Alg}_R(R[x_i \mid i : I], A).$$

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But it's not immediately clear how to define the degree of a polynomial using this definition. Let's give another:

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$$R[x]^s := (f : \mathbb{N} \rightarrow R) \times \exists n. \forall m > n. f_m = 0.$$

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Proposition

Let A be an R -algebra. Then, evaluation at $x : R[x]^s$ gives an equivalence

$$A \simeq \mathbf{Alg}_R(R[x]^s, A).$$

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Now we can define

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- If $\deg(f) = n^\uparrow$, then $f = \sum_{i=0}^n f_i x^i$.
- $\deg(f + g) \leq \max\{\deg(f), \deg(g)\}$.
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- $\deg(f + g) \leq \max\{\deg(f), \deg(g)\}$.
- $\deg(fg) \leq \deg(f) + \deg(g)$.
- What about $\deg(f \circ g) \leq \deg(f) \cdot \deg(g)$?

Horner Normal Form

We note that any polynomial f can be written as

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Let $R[x]^h$ be the higher inductive type given by

- $\text{const} : R \rightarrow R[x]^h$,
- $(-) \cdot x + (-) : R[x]^h \times R \rightarrow R[x]^h$,
- $\text{eq} : (r : R) \rightarrow \text{const}(0) \cdot x + \text{const}(r) = \text{const}(r)$,
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Proposition

For any R -algebra A , evaluation at $\text{const}(1) \cdot x + \text{const}(0)$ gives an equivalence

$$A \simeq \mathbf{Alg}_R(R[x]^h, A).$$

Induction on ~~Degree~~ Horner Normal Form

Definition

Define the composite $f \circ g$ of two polynomials $f, g : R[x]^h$ by induction on f :

- If $f \equiv \text{const}(r)$, then $f \circ g \equiv \text{const}(r)$.
- If $f \equiv h \cdot x + \text{const}(r)$, then $f \circ g \equiv (h \circ g) \cdot g + \text{const}(r)$.
- We check that $(0 \cdot x + r) \circ g = r$, and
- We note we are mapping into a set.

Induction on ~~Degree~~ Horner Normal Form

Proposition

For any polynomials $f, g : R[x]^h$, $\deg(f \circ g) \leq \deg(f) \cdot \deg(g)$.

Proof.

By induction on horner normal form:

$$\begin{aligned}\deg((f(x)x + r) \circ g) &= \deg((f \circ g)(x) \cdot g(x) + r) \\ &= \deg((f \circ g)(x) \cdot g(x)) \\ &\leq \deg((f \circ g)) + \deg(g) \\ &\leq \deg(f) \cdot \deg(g) + \deg(g) && \text{by hypothesis} \\ &= (\deg(f) + 1^\uparrow) \cdot \deg(g) \\ &= \deg(f(x) \cdot x + r) \cdot \deg(g)\end{aligned}$$



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Slogan: Instead of inducting on degree, induct on the polynomial!

Dimension

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Proposition

Let $f : k[x]$. Then $\deg(f) = \dim(k[x]/(f))$.

Catching up on Crispness

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If $P :: \mathbf{Prop}$ is a crisp proposition, then either P or $\neg P$ holds.

Discontinuously, every proposition is either true or false.

Crisp upper naturals are extended naturals

- If X is a crisp type, then bX can be thought of as the type of crisp points of X .

Definition

The **Extended Naturals** \mathbb{N}^∞ is the type of monotone functions $\mathbb{N} \rightarrow \text{Bool}$.

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Definition

The **Extended Naturals** \mathbb{N}^∞ is the type of monotone functions $\mathbb{N} \rightarrow \text{Bool}$. Equivalently, it is the type of upwards-closed *decidable* propositions on the naturals.

Proposition

- The extended naturals embed into the upper naturals, preserving the naturals.
- The bounded extended naturals are equivalent to the naturals. Every decidable, inhabited subset of \mathbb{N} has a least element.

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Proposition (Using LEM)

$$\mathfrak{b} \mathbb{N}^\uparrow \simeq \mathfrak{b} \mathbb{N}^\infty$$

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Proposition (Using LEM)

$$\flat \mathbb{N}^\uparrow \simeq \flat \mathbb{N}^\infty$$

And this equivalence restricts to

$$\flat \{\text{Bounded upper naturals}\} \simeq \mathbb{N}$$

The Crisp Countable Axiom of Choice

Axiom ($AC_{\mathbb{N}}$)

Suppose $P :: \mathbb{N} \rightarrow \mathbf{Type}$ is a crisp countable family of types. If $f :: (n : \mathbb{N}) \rightarrow \|Pn\|$ crisply, then $\|(n : \mathbb{N}) \rightarrow Pn\|$.

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Proposition

Assuming $AC_{\mathbb{N}}$, $\flat \mathbb{N}^{\infty} \simeq \mathbb{N} + \{\infty\}$.

Corollaries

Corollary

- Every crisp type is either infinite or has a natural number cardinality.
- Every crisp polynomial has natural number degree.
- Every crisp vector space has natural number dimension.
- ...

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